

BMJ Open Effectiveness, safety and costs of thromboembolic prevention in patients with non-valvular atrial fibrillation: phase I ESC-FA protocol study and baseline characteristics of a cohort from a primary care electronic database

Maria Giner-Soriano,^{1,2} Cristina Vedia Urgell,^{2,3} Albert Roso-Llorach,^{1,2} Rosa Morros,^{1,2} Dolors Capellà,⁴ Xavier Castells,⁴ Ignacio Ferreira-González,⁵ Amelia Troncoso Mariño,⁶ Eduard Diògene,⁷ Josep M^a Elorza,⁸ Marc Casajuana,^{1,2} Bonaventura Bolívar,^{1,2} Concepció Violan^{1,2}

To cite: Giner-Soriano M, Vedia Urgell C, Roso-Llorach A, *et al*. Effectiveness, safety and costs of thromboembolic prevention in patients with non-valvular atrial fibrillation: phase I ESC-FA protocol study and baseline characteristics of a cohort from a primary care electronic database. *BMJ Open* 2016;**6**:e010144. doi:10.1136/bmjopen-2015-010144

► Prepublication history and additional material is available. To view please visit the journal (<http://dx.doi.org/10.1136/bmjopen-2015-010144>).

Received 30 September 2015
Revised 27 November 2015
Accepted 23 December 2015



CrossMark

For numbered affiliations see end of article.

Correspondence to
Maria Giner-Soriano;
mginer@diapjgol.info

ABSTRACT

Purpose: Atrial fibrillation is the most common arrhythmia. Its management aims to reduce symptoms and to prevent complications through rate and rhythm control, management of concomitant cardiac diseases and prevention of related complications, mainly stroke. The main objective of Effectiveness, Safety and Costs in Atrial Fibrillation (ESC-FA) study is to analyse the drugs used for the management of the disease in real-use conditions, particularly the antithrombotic agents for stroke prevention. The aim of this work is to present the study protocol of phase I of the ESC-FA study and the baseline characteristics of newly diagnosed patients with atrial fibrillation in Catalonia, Spain.

Participants: The data source is System for the Improvement of Research in Primary Care (SIDIAP) database. The population included are all patients with non-valvular atrial fibrillation diagnosis registered in the electronic health records during 2007–2012.

Findings to date: A total of 22 585 patients with non-valvular atrial fibrillation were included in the baseline description. Their mean age was 72.8 years and 51.6% were men. The most commonly prescribed antithrombotics were vitamin K antagonists (40.1% of patients) and platelet aggregation inhibitors (32.9%); 25.3% had not been prescribed antithrombotic treatment. Age, gender, comorbidities and co-medication at baseline were similar to those reported for previous studies.

Future plans: The next phase in the ESC-FA study will involve assessing the effectiveness and safety of antithrombotic treatments, analysing stroke events and bleeding episodes' rates in our patients (rest of phase I), describing the current management of the disease and its costs in our setting, and assessing how the introduction of new oral anticoagulants changes the stroke prevention in non-valvular atrial fibrillation.

Strengths and limitations of this study

- The limitations inherent in these studies are the collection of non-randomised data or missing information. Regarding the possible infraregister of atrial fibrillation diagnosis, we have confirmed that prevalence in our setting is comparable to the prevalence reported in the available literature. Given the inconsistencies found in the pharmacy invoice registers, we had to exclude a high number of patients. We were not sure about the validity of these data and that is why they were excluded. Therefore, we can confirm that the information on drugs in this work is completely reliable.
- Regarding the strengths of this study, it is necessary to emphasise the large number of patients included, and the coverage of our database and the representativeness of the general population (System for the Improvement of Research in Primary Care (SIDIAP) information comes from electronic health records of 5.8 million people—more than 80% of the Catalan population), complete socio-demographic data and real clinical practice data. Moreover, this is the first population study in our setting which assesses the number of patients treated with the different pharmacological options traditionally used for stroke prevention in atrial fibrillation in a real clinical practice scenario; subsequently the study analyses the effectiveness and safety of these treatments in terms of stroke and haemorrhage rates.

INTRODUCTION

Atrial fibrillation (AF) is the most common form of chronic arrhythmia, with increasing healthcare burden due to an ageing

population and improved survival rate from cardiovascular events.¹ Its estimated prevalence is approximately 1–2% of general population.^{1–2} AF increases with age, from 0.5% in people under 50² to 10–15% in people over 80 years of age.³

AF is associated with various cardiovascular conditions such as hypertension, symptomatic heart failure or heart valve disease. It increases the risk of stroke fivefold, and one in five strokes is attributed to this type of arrhythmia.⁴

Management of patients with AF aims to reduce symptoms by means of rate and rhythm control, and the management of concomitant cardiac diseases to prevent AF complications such as stroke and thromboembolism.⁴ Antithrombotic drugs used for stroke prevention in non-valvular AF are oral anticoagulants (OAC), specifically vitamin K antagonists (VKA), and antiplatelet agents.⁴ Recently, new OAC have received marketing authorisation in the European Union for stroke prevention: dabigatran received authorisation in Spain in October 2011, rivaroxaban in June 2012 and apixaban in August 2013.

The use of OAC and/or antiplatelet therapy depends on the patient's risk of developing thromboembolic and bleeding events,^{5–6} taking into account that some risk factors for bleeding are also risk factors for stroke.¹ It is generally recommended to assess stroke risk with Congestive heart failure, Hypertension, Age, Diabetes mellitus, Stroke (CHADS₂)^{7–8} and Congestive heart failure, Hypertension, Age >75, Diabetes mellitus, Stroke, Vascular disease, Age 65–74, female Sex (CHA₂DS₂-VASc)^{1–9} scores, and bleeding risk with the Hypertension, Abnormal kidney and/or liver function, Stroke, Bleeding, Labile INR, Elderly, Drugs and/or alcohol (HAS-BLED) score.^{2–10–11}

Net clinical benefit of antithrombotic prophylaxis in patients with AF has been demonstrated in some studies.^{12–13} However, studies on OAC conducted in our setting indicate underuse^{3–5–14–17} that is possibly due to the VKA risk for bleeding; significant interactions with other drugs, food and alcohol; need for frequent INR monitoring and the high interindividual and intraindividual variability in INR.^{1–4} In fact, the current number of patients with AF under antithrombotic treatment in our setting is unknown. Also, no data on the adequacy of prescriptions based on stroke and bleeding risk exist. Similarly, no studies on antithrombotic effectiveness in stroke prevention in our setting have been published.

Therefore, the ageing population, which increases AF and stroke incidences^{2–3}; the recent approval of new OAC for stroke prevention in non-valvular AF; and the need to assess use of OAC and their clinical results through population studies of the VKA most used in our setting (acenocoumarol instead of warfarin, which has been evaluated in most clinical trials) underscore the need for the Effectiveness, Safety and Costs in Atrial Fibrillation (ESC-FA) study.

The main objective of the ESC-FA study is to analyse the drugs used for the management of non-valvular AF,

particularly the antithrombotic agents for stroke prevention.

The study is divided into four different phases. The specific objectives of phase I are: (1) to describe the antithrombotic management of AF in our setting, (2) to assess the effectiveness of antithrombotics in real-use conditions according to stroke rates, and (3) to assess the safety of antithrombotics use according to bleeding events rates.

The specific objective of phase II is to describe the management of rhythm and rate control. The specific objective of phase III is to estimate the cost of managing non-valvular AF in our setting. The specific objective of phase IV is to assess changes in effectiveness, safety and costs associated with the introduction of a new OAC.

In this paper, we present the protocol of phase I of the ESC-FA study, with the description of baseline characteristics of patients with non-valvular AF and the drugs currently used for stroke and thromboembolism prevention in our setting.

METHODS

Study design

The ESC-FA study is a retrospective observational cohort study of ≥18-year-old individuals with a diagnosis of non-valvular AF and registered in the electronic health records throughout 2007 and 2012 in all primary care centres of the Catalan Health Institute (ICS). The ICS is the main provider of health services in Catalonia and it manages 274 primary care practices with a catchment population of 5 835 000 patients (80% of the Catalan population, or more than 10% of the Spanish population).

Data source

The data source is System for the Improvement of Research in Primary Care (SIDIAP) database. SIDIAP contains anonymised clinical information that originates from different data sources:^{18–22} (1) eCAP (electronic health records in primary care of the ICS) which includes information since 2006 on sociodemographic characteristics, health conditions registered as International Classification of Diseases (ICD)10 codes, general practitioners' prescriptions, clinical parameters and toxic habits; (2) laboratory data; (3) prescriptions and their corresponding pharmacy invoice data that are available since 2005, with information on all pharmaceutical products dispensed by community pharmacies for Catalan Health System prescriptions, by Anatomic Therapeutic Chemical Classification System (ATC) codes; (4) the CMBD-AH database that includes diagnoses at hospital discharge registered as ICD9 codes.

Study population

Inclusion criteria: all patients older than 18 years with a new diagnosis of non-valvular AF registered in SIDIAP from 2007 to 2012.

Exclusion criteria: valvular AF and antithrombotic treatment registered more than 6 months before the AF diagnosis.

The cohorts were defined according to the antithrombotic treatment registered in the pharmacy invoice database at the time of diagnosis by considering an overall 6-month period for the definition of baseline date (± 3 months between diagnosis date and antithrombotic treatment date). All patients with more than one dispensed package of an antithrombotic registered in this period of time were included in the study.

To define dual therapy at baseline (VKA + antiplatelet, or aspirin + another antiplatelet), we considered at least two consecutive entries in the pharmacy invoice database for both drugs during the baseline period.

Two consecutive entries are all those separated by a period of time equal to the period of supply of a drug package. For instance, for 1-month treatment packages, consecutive entries are those separated by a 1-month interval in the pharmacy invoice register.

Study variables

At baseline, the following variables were collected: gender; age at diagnosis; Mortalidad en áreas pequeñas Españolas y Desigualdades socioEconómicas y Ambientales (MEDEA) Index (deprivation index which shows the social or material disadvantage accruing to a person or group in accordance to their city/region/country, as given in the census data in Catalonia. The higher this is, the worse the deprivation²³; smoking status (last register before diagnosis); alcohol intake (last register before diagnosis); body mass index (nearest value to diagnosis date, within an interval of ± 2 years of diagnosis date); stroke and bleeding risk (CHADS₂ and HAS-BLED were calculated at baseline with the information registered in SIDIAP; for bleeding risk, HAS-BLED was calculated without 'L: labile INR' item, since INR values were missing in most patients); comorbidities of interest and cardiovascular risk factors registered before AF diagnosis (cardiovascular comorbidities, previous bleedings, and kidney and liver function given as ICD10 codes specified in the ICD10 codes list; see online supplementary file); laboratory data (the nearest value to diagnosis date, within an interval of ± 1 year of diagnostic date); blood pressure (BP, the nearest values of systolic and diastolic BP to diagnosis date, within an interval of ± 1 year of diagnosis date); antithrombotic drugs registered in the pharmacy invoicing database within ± 3 months from diagnosis date (registered as ATC codes specified in the ATC codes list; see online supplementary file); concomitant drug therapy of interest registered in the pharmacy invoice database within ± 3 months from diagnosis date (rate and rhythm control drugs, other cardiovascular medication, diabetes treatments, proton pump inhibitors, and non-steroidal anti-inflammatory drugs listed as ATC codes specified in the ATC codes list; see online supplementary file); stroke and other thromboembolic events rates and bleeding episodes

(cerebral, gastrointestinal, eye and other haemorrhages) rates registered at CMBD-AH before AF diagnosis in order to confirm the stroke and bleeding rates registered at SIDIAP (as ICD9 codes specified in the ICD9 codes list; see online supplementary file).

During follow-up the following variables will be assessed for objectives 2 and 3: stroke and bleeding risk calculated during follow-up; stroke and other thromboembolic events and haemorrhages rates; antithrombotic drugs taken during follow-up to assess treatment changes, new treatments or end of treatment, and analysis of effectiveness and safety of the main treatment options—VKA, antiplatelet drugs and no antithrombotic treatment—through the variable 'net clinical benefit'.

'Net clinical benefit' has been defined in a previous publication²⁴ as the annualised rate of thromboembolic events prevented minus the annualised rate of intracranial haemorrhages (ICHs) induced multiplied by a weighting factor of 1.5; this reflects the relative impact, in terms of disability, of an ICH while receiving VKA (studied with warfarin) versus experiencing an ischaemic stroke while not receiving VKA:

$$\begin{aligned} \text{Net clinical benefit} &= (\text{stroke rate off} - \text{VKA} \\ &\quad - \text{stroke rate on} - \text{VKA}) - 1.5 \times (\text{ICH rate on} - \text{VKA} \\ &\quad - \text{ICH rate off} - \text{VKA}) \end{aligned}$$

Statistical analysis

Descriptive statistics were used to summarise the data. Categorical variables were expressed as frequencies (percentage) and quantitative variables as mean (SD) or median (IQR) for non-normally distributed variables. The differences between cohorts were tested using analysis of variance or Kruskal-Wallis test, χ^2 or Fisher exact test for unadjusted comparison, as appropriate.

Incidence rates and incidence rate ratios of stroke and bleeding events during the follow-up will be estimated using Poisson regression. The resulting person-time value will be used as an offset variable. Time-to-event analysis will be performed using non-parametric methods like Kaplan-Meier and log-rank test. Multivariate Cox proportional hazards regression models will be fitted, adjusting for baseline sociodemographic characteristics, and confounding and predictive factors of each event. Extended Cox models will be used when the model's proportional hazards assumption does not hold.

Sensitivity analysis will be carried out excluding patients who change from one cohort to another during the follow-up and censoring according to the patient's change of cohort.

All statistical tests were two-tailed using a significance level of 5%. The analyses were performed using Stata V.11 (Stata Corp, Collage Station, Texas, USA) and R V.3.0.2 (R Foundation for Statistical Computing, Vienna, Austria).

Ethical and legal issues

The present study follows national and international regulations: Declaration of Helsinki Ethical Principles for Medical Research Involving Human Subjects, and Good Research Practice principles and guidelines.

Regarding the data contained in the databases and as per Spanish legislation about confidentiality and data protection (Ley Orgánica 15/1999 de 13 de diciembre de Protección de Datos de Carácter Personal), data included in SIDIAP are always anonymised and identified by an internal code, which makes it impossible to identify the individuals included. Thus, it is not necessary to ask for informed consent from the participants. Each individual is identified through an encrypted, anonymised code.

For the linkage with CMBD database (or other databases), SIDIAP uses a 'trusted third party' in order to ensure confidentiality when linking both data sources. This third party has no access to clinical information, only to codes and IDs.

Cohort description and findings to date

There were 41 468 patients with a new AF diagnosis registered in SIDIAP between 2007 and 2012. Of the newly diagnosed patients, 25 601 (61.7%) fulfilled the inclusion criteria and none of the exclusion criteria (figure 1). Study cohorts were based on antithrombotic treatment registered at the time of AF diagnosis (± 3 months interval). Two treatment groups were excluded from the baseline description of the cohorts (11.8% of patients included): patients with only 1 dispensed package of antithrombotic registered during study period ($n=1755$) and patients with ≥ 3 different antithrombotic drugs registered ($n=1261$), as this is a group that is excessively heterogeneous.

We present the baseline characteristics of 22 585 individuals with non-valvular AF, diagnosed from 2007 to 2012. Their mean age was 72.8 (SD 13.1) years and 51.6% were men. The number of patients diagnosed per year with AF in each cohort is shown in figure 2.

There were 5724 (25.3%) of patients with no antithrombotic treatment registered at baseline. The most prescribed treatment were VKA (9057 patients, 40.1%), followed by platelet aggregation inhibitors (7424, 32.9%). The remaining patients were initiated on VKA + antiplatelet (1.0%) or on dabigatran (0.7%).

During the study period, the proportion of patients with no antithrombotic treatment decreased from 28.2% in 2007 to 26.1% in 2012, while the proportion of VKA-treated patients increased from 37.5% in 2007 to 41.8% in 2012. A decrease in the prescription of antiplatelet agents, from 33.4% in 2007 to 27.9% in 2012, was observed.

The baseline characteristics of our patients, including percentages of patients with missing data, are described in tables 1–4.

Table 1 shows higher proportions of men in all cohorts except in the antiplatelet group. Patients treated with any

antithrombotic drug were older than non-treated patients. There were more patients over 75 years in the group of antiplatelets. There were more current smokers in the group of patients with no antithrombotic treatment; however, this group had a higher percentage of missing values than the rest of the groups. There was also a high percentage of missing values in alcohol intake.

Considering only the three main cohorts (no treatment, VKA, antiplatelets) and according to a CHADS₂ score ≥ 2 , 52.8% of the patients from the VKA cohort would be considered as 'adequately anticoagulated'. According to a CHA₂DS₂VASc score ≥ 2 , 62.6% of VKA patients would be 'adequately anticoagulated' and at least 6.1% of patients in the same group (CHA₂DS₂VASc=0) would be 'inadequately anticoagulated' as their stroke risk is low (figure 3).

On the other hand, there are 38.8% patients in the no-treatment group with a CHADS₂ score ≥ 2 ; so they should be receiving VKA. This percentage is 65.5% if we take into account the CHA₂DS₂VASc score (≥ 2); thus, only 34.5% patients with a low-moderate stroke risk are not treated with antithrombotics.

Patients treated with antiplatelets and VKA + antiplatelets have higher scores in the HAS-BLED bleeding classification.

Table 2 shows that patients with antithrombotic treatment had more cardiovascular comorbidities when compared with non-treated patients, and patients in the dual therapy VKA + antiplatelet cohort had more comorbidity. Hypertension was the most frequent comorbidity, followed by dyslipidaemia. Coronary artery disease was found in 34.8% of the patients in the VKA + antiplatelet cohort, with a high frequency of previous myocardial infarction. Non-treated individuals had better estimated glomerular filtration rate (eGFR) than patients initiated on antithrombotic therapy, except for dabigatran (84.4% of dabigatran patients had eGFR >60 mL/min/1.73 m²). However, there were more missing values in that group.

Disease control parameters and laboratory data of interest are described in table 3. Around two-thirds of patients had good control of BP, glycated haemoglobin and low-density lipoprotein cholesterol levels without differences between cohorts.

We describe medications of interest in use at baseline in table 4. Patients with antithrombotic prescribed at baseline received more co-medication than non-treated patients, since they had more comorbidity. Antihypertensive drugs, statins and proton pump inhibitors were the most frequent co-medications.

All baseline sociodemographic characteristics and comorbidities were significantly different among the five groups.

DISCUSSION

The ESC-FA study was designed as a retrospective observational cohort study on the effectiveness and safety of antithrombotic therapy in patients with non-valvular AF

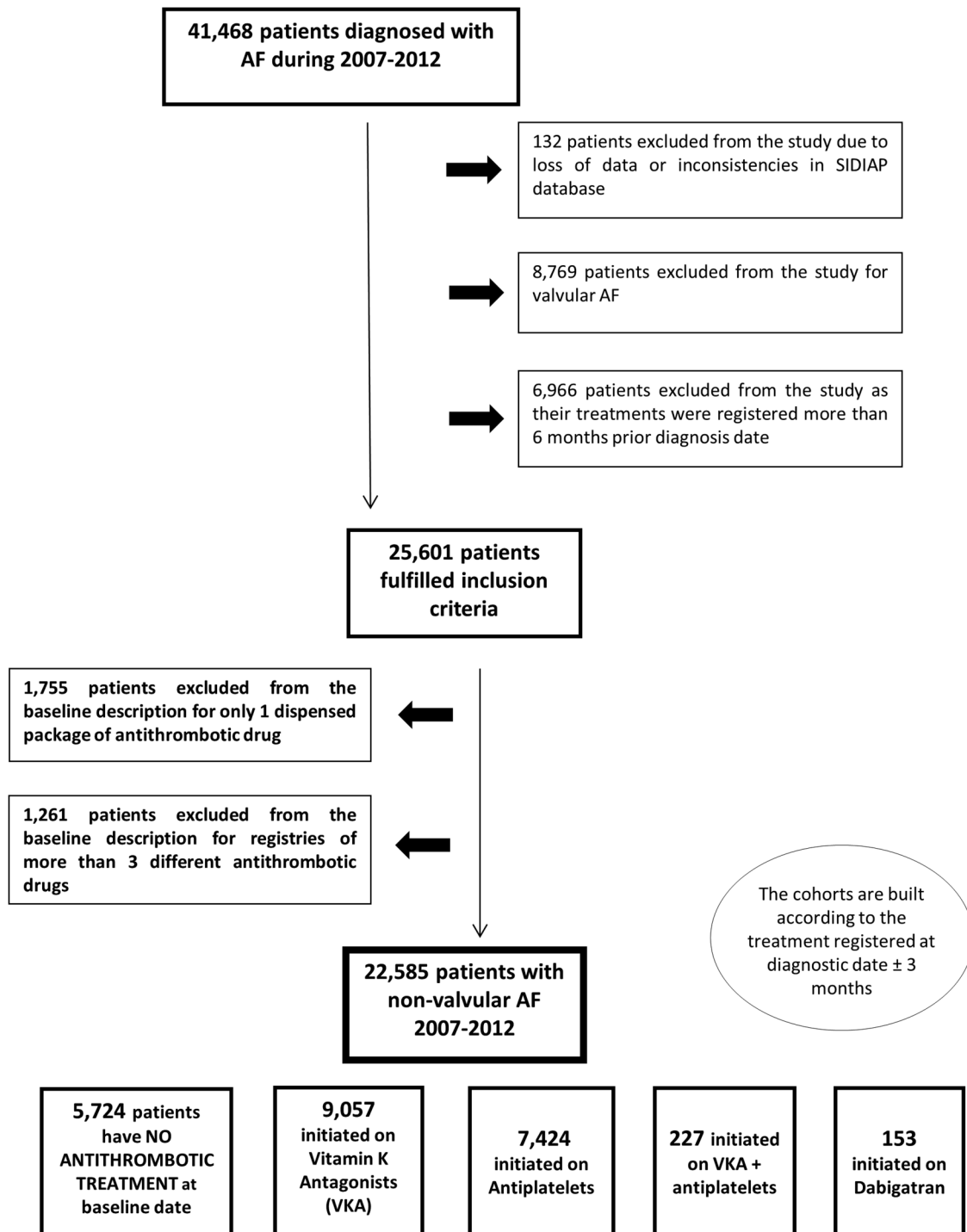
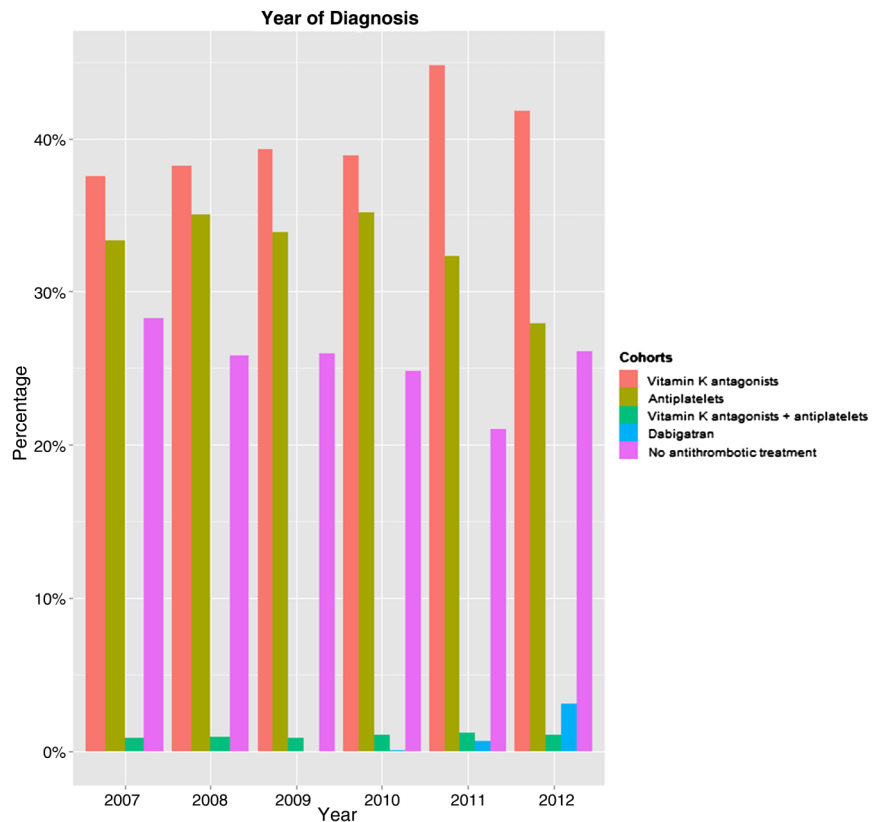


Figure 1 Study flow chart. Patients included and excluded from the study. AF, atrial fibrillation; SIDIAP, System for the Improvement of Research in Primary Care; VKA, vitamin K antagonist.

that was in use under clinical conditions in Catalonia. In this article, we report the baseline sociodemographic and clinical characteristics of 22 585 patients with non-valvular AF recently diagnosed, and discuss the main differences between non-treatment and usual treatments for prevention of stroke and thromboembolic events. The patients included in the study have been divided into five cohorts according to the antithrombotic treatment prescribed at the time of diagnosis.

This is an observational study performed with data obtained from an electronic database. Therefore, it is subject to certain limitations inherent in all such studies, such as the collection of non-randomised data, missing or incomplete information, and possible confounders. The strengths of our study are the large number of patients included, representativeness of the general population (SIDIAP information comes from ICS, which manages more than 80% of the Catalan population),

Figure 2 Distribution of new diagnoses of atrial fibrillation (AF) per year and cohort. Percentage of patients newly diagnosed with AF per year in each cohort in the figure, number of patients in the table below. Vitamin K antagonists (VKA; n=9057); antiplatelets (n=7424); VKA + antiplatelet (n=227); dabigatran (n=153); no antithrombotic treatment (n=5724).



n	No treatment	VKA	Antiplatelets	VKA + antiplatelets	Dabigatran	Overall
2007	1088	1446	1285	34		3853
2008	911	1348	1236	34		3529
2009	954	1444	1246	31	1	3676
2010	931	1457	1318	40	3	3749
2011	797	1694	1223	46	25	3785
2012	1043	1668	1116	42	124	3993
Overall period	5724	9057	7424	227	153	22585

complete sociodemographic and health records, and real clinical practice data.

With regard to AF diagnosis, our data are supported by previous studies^{18–21} which validate our findings and indicate that the study population is representative of the population in Catalonia and thus can be used in epidemiological studies in our setting. More specifically, the diagnosis of AF has been validated in our population in the study published by García-Gil Mdel *et al.*²²

The diagnosis is sometimes registered in the patients' electronic health records after the real diagnosis has been made, and the start of antithrombotic treatment is registered before or after the diagnosis register. To overcome this inconsistency, the cohorts have been constructed taking into account antithrombotic treatments registered during the interval of ± 3 months from the diagnostic date.

Regarding the pharmacy invoicing register, we have excluded 11.8% of the 25 601 patients with non-valvular

AF due to inconsistencies in the register of treatments. We decided to exclude 1261 patients as they had registers of three or more different antithrombotic drugs simultaneously at baseline and we assumed there might be errors in the pharmacy invoice database. We decided to exclude another 1755 patients from the baseline description of the cohort because they only had one package of antithrombotic medication dispensed and there were dispensing errors.

Although most patients are treated with VKA, INR data are not described at baseline because two different methods of INR determination are used in Catalonia: by laboratory standard determination, which is performed in a low proportion of patients; or through a point-of-care rapid INR determination carried out during primary care visits or in hospitals in most cases. Since we do not have access to hospital records, a high number of INR had missing values. Therefore, INR has not been included in the HAS-BLED calculation. However, at this stage it should not make a significant

Table 1 Sociodemographic characteristics and cardiovascular, stroke and bleeding risk factors

n=22 585	No antithrombotic treatment 5724	VKA 9057	Antiplatelet agents 7424	VKA + antiplatelet 227	Dabigatran 153
Gender					
Female (%)	46.9	48.3	50.3	36.6	38.6
Male (%)	53.1	51.7	49.7	63.4	61.4
Age (years; mean, SD)	69.6 (16.4)	73.4 (10.3)	74.6 (12.9)	72.4 (9.9)	71.4 (11.0)
>75 years (%)	45.5	51.1	53.6	43.6	39.2
MEDEA ²³ (mean, SD)	0.44 (0.92)	0.52 (0.90)	0.50 (0.91)	0.51 (0.82)	0.29 (0.97)
≥4th quintile (%)	36.6	39.4	39.1	41.0	29.9
BMI (kg/m ² ; mean, SD)	28.6 (5.1)	30.2 (5.3)	29.2 (5.2)	30.1 (5.5)	29.5 (4.4)
BMI ≥30: obesity (%)	34.7	46.8	38.8	44.4	40.2
Missing values (%)	46.4	25.3	33.9	25.6	39.9
Smoking status (%)					
Non-smoker	65.7	70.0	70.3	62.8	66.2
Current smoker	16.9	10.3	11.8	12.2	9.6
Ex-smoker	17.4	19.7	17.9	25.0	24.2
Missing values	30.9	19.5	18.4	17.2	11.1
Alcohol intake (%)					
Non-consumer	71.8	70.3	70.4	67.5	63.3
Mild-moderate	25.7	27.3	27.2	31.3	32.7
Alcohol abuse	2.5	2.4	2.4	1.2	4.1
Missing values	49.0	29.0	35.2	26.9	35.9
CHADS ₂ score ⁷ (%)					
0	31.7	15.3	19.9	11.9	18.3
1	29.6	31.9	32.6	31.3	38.6
2	24.8	34.8	30.6	27.8	26.1
≥3	14.0	18.0	16.9	29.1	17.0
CHA ₂ DS ₂ VASc score ²⁵ (%)					
0–1	34.5	17.8	22.3	13.6	24.2
2	16.5	19.5	19.2	18.5	24.8
3	21.4	26.6	23.8	26.4	20.3
≥4	27.6	36.0	34.7	41.3	36.8
HAS-BLED score ^{10 11} (%)					
0	16.3	6.6	0.0	0.0	4.1
1–2	63.6	68.9	42.5	34.3	81.6
≥3	20.2	24.4	57.4	65.7	14.3

BMI, body mass index; VKA, vitamin K antagonists.

difference, since INR is only determined in VKA-treated patients during follow-up and we present the data at baseline, when the INR has not yet been determined and the 'L' for HAS-BLED is 0, the same as in patients not treated with VKA. Nonetheless, we will conduct a validation for the INR during the follow-up period as it is an essential parameter in the clinical management of VKA-treated patients.

Regarding sociodemographic characteristics, the proportion of men and women in our study is quite balanced (51.6% of men) and this is similar to that in prior registries.^{15 27 28}

We found that patients treated with any antithrombotic drug are older, have more comorbidity at baseline and receive more co-medication than non-treated individuals. In agreement with similar studies, we found high prevalence of hypertension, dyslipidaemia, diabetes mellitus and heart failure in all patients with AF.^{27 29–31}

The number of patients included in the VKA + antiplatelet cohort is low, possibly due to the short interval of time used to consider a situation of dual therapy (two consecutive registers of both drugs at baseline). The number of patients included in the dabigatran cohort is also low, since this drug was authorised for non-valvular AF in Spain at the end of 2011 and we only include data up to 2012. Moreover, dabigatran is subject to restricted conditions for its prescription in our setting. Data for rivaroxaban are not shown, since there were only a few registers during 2012. Data for apixaban are not shown either, as it was authorised in Spain for non-valvular AF in 2013. VKA prescription rate in patients with non-valvular AF at baseline is similar to those in other studies.

Kirchhof *et al.*²⁹ conducted an observational study (PREvention of thromboembolic events—European Registry in Atrial Fibrillation, PREFER-AF) including 7243 patients in seven European countries between January 2012 and January 2013. In the cross-sectional

Table 2 Baseline comorbidities

n=22 585	No antithrombotic treatment 5724	VKA 9057	Antiplatelet agents 7424	VKA + antiplatelet 227	Dabigatran 153
Cardiovascular comorbidity (%)					
Hypertension	48.1	65.1	59.5	67.8	64.1
Years of evolution (mean, SD)	7.4	6.8	6.8	7.1	5.9
Type 2 diabetes mellitus	14.4	18.5	16.7	26.4	16.3
Years of evolution (mean, SD)	6.9	6.9	6.4	6.5	9.4
Dyslipidaemia	24.8	33.2	31.7	38.3	31.4
Peripheral arterial disease	0.9	1.1	1.5	4.0	2.6
Coronary artery disease	4.3	2.8	5.7	34.8	3.9
MI	1.4	0.7	2.1	17.2	0.7
Angina	1.1	1.0	1.6	7.5	2.0
Heart failure	7.7	10.0	8.1	13.2	5.2
Previous stroke	4.8	7.2	5.3	14.1	8.5
TIA	1.0	1.6	1.8	5.3	4.6
Bleeding (%)					
Previous bleeding	6.0	4.5	5.1	4.0	7.8
Cerebral haemorrhage	1.0	0.4	0.8	0.4	2.0
Gastrointestinal	3.6	2.5	2.9	1.3	3.9
Eye	0.4	0.7	0.6	0.4	0.0
Other	1.3	0.9	1.0	1.8	2.6
Peptic ulcer	3.6	3.7	3.8	2.2	2.6
Renal impairment (%)					
eGFR (MDRD)					
<30 mL/min/1.73 m ²	2.4	1.9	2.4	1.6	0.0
30–60	25.4	27.7	28.7	36.0	15.6
>60	72.2	70.4	68.9	62.4	84.4
<i>Missing values</i>	35.0	18.1	19.1	16.7	28.8
Hepatic impairment (%)	3.2	1.7	1.6	2.2	0.7
Charlson comorbidity index ²⁶ (%)					
0–2	88.6	90.6	89.1	88.5	92.2
>2	11.4	9.4	10.9	11.5	7.8

eGFR, estimated glomerular filtration rate; MDRD, Modification of Diet in Renal Disease; MI, myocardial infarction; TIA, transitory ischaemic attack; VKA, vitamin K antagonists.

Table 3 Disease control parameters and laboratory data

n=22 585	No antithrombotic treatment 5724	VKA 9057	Antiplatelet agents 7424	VKA + antiplatelet 227	Dabigatran 153
BP					
Systolic BP (mean, SD)	132.5 (19.3)	133.3 (18.5)	133.5 (18.4)	132.3 (18.3)	131.6 (17.7)
Diastolic BP (mean, SD)	76.6 (11.7)	78.0 (11.8)	77.2 (11.4)	77.0 (11.9)	77.5 (11.8)
Good BP control (<140/90 mm Hg; %)	64.6	61.5	61.3	63.7	65.9
<i>Missing values</i>	22.5	6.8	10.0	5.3	11.8
HbA1c (mean, SD)	1.4	1.3	1.3	1.3	1.1
HbA1c <7% (%)	70.5	65.5	69.7	55.7	74.0
<i>Missing values</i>	77.8	66.5	69.2	57.3	67.3
Total cholesterol (mg/dL) (mean, SD)	195.7 (40.8)	198.3 (39.5)	195.1 (39.5)	183.3 (42.5)	199.0 (36.6)
HDL	53.0 (15.1)	53.1 (14.3)	53.9 (15.1)	51.1 (14.0)	54.3 (14.6)
LDL	120.9 (34.3)	121.6 (33.8)	119.3 (33.5)	109.1 (36.1)	125.1 (28.2)
n, per cent of c-LDL <130 mg/dL	61.0	61.0	63.5	72.4	59.6
<i>Missing values of total cholesterol (%)</i>	35.4	18.7	19.7	15.9	29.4

BP, blood pressure; HbA1c, glycated haemoglobin; HDL, high-density lipoprotein; c-LDL, low-density lipoprotein-cholesterol; VKA, vitamin K antagonists.

Table 4 Medications in use at baseline (% of patients)

n=22 585	No antithrombotic treatment 5724	VKA 9057	Antiplatelet agents 7424	VKA + antiplatelet 227	Dabigatran 153
Digoxin	4.4	20.3	13.5	11.9	10.5
Amiodarone	7.9	18.3	14.6	23.3	9.2
Flecainide	4.3	4.4	6.1	3.1	9.2
Dronedarone	0.3	0.7	0.6	0.0	0.7
Other antiarrhythmic agents	1.9	1.9	2.2	0.9	2.0
Diuretics					
Low-ceiling diuretics	10.3	25.6	20.4	29.1	11.8
Thiazides	4.8	9.3	8.4	4.8	7.2
Aldosterone antagonists	1.8	3.4	2.2	5.3	0.7
β-blockers	11.3	30.4	23.0	48.5	38.6
Calcium channel blockers					
Dihydropyridines	6.1	11.9	9.9	15.0	7.2
Verapamil	1.0	1.6	1.1	0.4	0.7
Diltiazem	1.8	9.3	5.0	5.7	6.5
ACEI	13.9	30.1	26.2	40.1	24.2
ARB	10.6	22.3	17.2	19.4	27.5
Other antihypertensive drugs	1.8	4.3	3.1	5.7	4.6
Nitrates	1.4	2.4	4.4	19.4	0.0
Trimetazidine	1.5	1.8	2.6	2.2	2.0
Ivabradine	0.1	0.1	0.3	0.9	0.0
Other vasodilator agents	0.2	0.4	0.3	0.4	0.0
Statins	11.3	24.3	22.7	52.4	22.2
Other lipid-modifying agents	1.4	2.0	1.8	4.0	2.0
Oral antidiabetic agents	5.8	12.8	10.3	21.1	12.4
Insulins	1.4	2.4	2.2	4.0	1.3
Proton pump inhibitors	25.5	42.9	53.7	69.2	32.7
NSAIDs	15.5	19.0	20.6	18.5	8.5

ARB, angiotensin receptor blockers; NSAID, non-steroidal anti-inflammatory drugs.

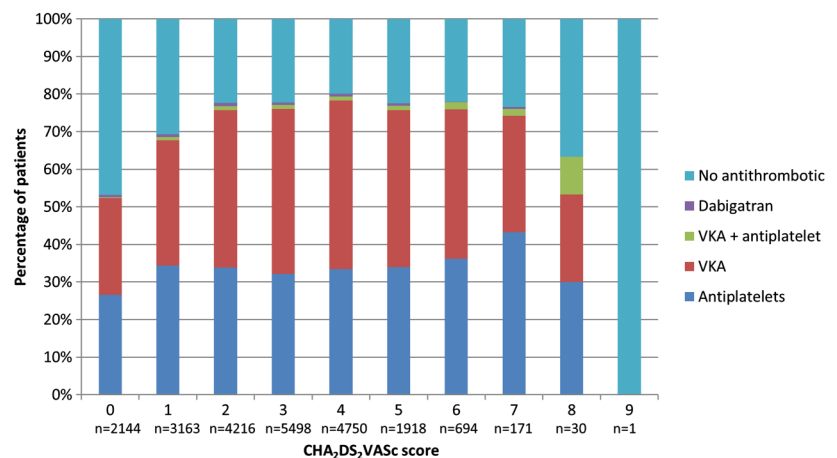
description, their results suggest much better adherence to evidence and recommendations than previous reports of similar registries: VKA were prescribed in 66.3% of the patients included, antiplatelets in 11.2%, VKA + antiplatelet dual therapy in 10.9%, and dabigatran in 6.1%. They reported 17.7% of non-treated individuals. Results from a prospective follow-up study have not been published as yet.

Kakkar *et al*³⁰ conducted the GARFIELD study in different primary care settings, which described VKA

prescription in 45.2% of patients with AF, antiplatelet agents in 25.3%, dual therapy VKA + antiplatelet in 10.6% and dabigatran in 4.5%. They included 10 614 patients enrolled throughout 2009 and 2011. This study reported similar VKA and antiplatelet prescription rates to those found in our setting.

Scowcroft *et al*³¹ conducted a cohort study of 81 381 patients with AF from the General Practice Research Database, diagnosed with AF between 2000 and 2009. They found differences in VKA prescription

Figure 3 CHA₂DS₂VASc scores in the treatment cohorts. Percentage of patients from each cohort by stroke risk according to CHA₂DS₂VASc score. VKA, vitamin K antagonist.





according to the age group: in the 60–69 years age group, VKA was prescribed to 57% of the patients; in the 70–79 years age group, 55% of patients were receiving VKA; and only 32% of patients older than 80 years were treated with VKA. Although this study was carried out with data from an electronic database, which makes possible the analysis of a large set of patients, the results are not easily comparable to ours since we have not stratified patients by age group. In our study, 51.1% of the VKA group are over 75 years of age.

Observational studies conducted in our setting described different proportions of patients treated with VKA^{14 15 28} than those found in our study (table 1 and figure 3). Nevertheless, we described the situation only at baseline date and our patients could start antithrombotic treatment during follow-up. Moreover, these studies included small numbers of patients. The adequacy of anticoagulation is described in some of these studies; Kirchhof *et al*²⁹ describe 85.6% of patients adequately anticoagulated (CHA₂DS₂-VASc ≥ 2). In our study, there are less patients adequately anticoagulated (62.6% of VKA patients had CHA₂DS₂-VASc ≥ 2), but only 6.1% of patients with truly low risk (CHA₂DS₂-VASc=0) received VKA at baseline; this proportion of inadequate anticoagulation is higher in other studies.^{28 30}

Kakkar *et al*³⁰ and Barrios *et al*²⁸ describe 61.9% and 57% of patients adequately anticoagulated, respectively, by considering CHADS₂ score. On the other hand, Scowcroft *et al*³¹ included 90% of patients with a CHA₂DS₂-VASc score ≥ 2 , but only 45.6% of the patients included received warfarin. Although it is difficult to compare our study with prior reports, even if the prescription of OAC in our setting appears to be low, it is nonetheless similar to other studies.

CONCLUSIONS

We describe the actual use of antithrombotic agents for stroke and thromboembolism prevention in a large number of patients with non-valvular AF in Catalonia.

Age, gender and comorbidity in patients with non-valvular AF were similar to those reported in previous studies. The prescription rates of patients initiated on VKA and on platelet aggregation inhibitors were similar to those reported in other studies.

We cannot establish any conclusion about dabigatran use, since only 153 patients had been initiated on this new OAC as its approval for use in patients with AF took place in latter 2011. This is expected to change during follow-up, when more patients will have been included in the dabigatran cohort and will have started treatment with rivaroxaban and apixaban as well.

The next step in ESC-FA study is to assess effectiveness and safety of antithrombotic treatments by analysing stroke and other thromboembolic events, and haemorrhage rates in our patients. These data would show changes in the management of patients with non-

valvular AF in Catalonia due to modifications in antithrombotic treatment during follow-up and the introduction of the new OAC.

Author affiliations

¹Institut Universitari d'Investigació en Atenció Primària Jordi Gol (IDIAP Jordi Gol), Barcelona, Spain

²Universitat Autònoma de Barcelona (UAB), Bellaterra, Spain

³Unitat de farmàcia, Servei d'Atenció Primària Barcelonès Nord i Maresme, Institut Català de la Salut, Badalona, Spain

⁴Translab Research Group, Unitat de Farmacologia Clínica, Departament de Ciències Mèdiques, Facultat de Medicina, UdG, Girona, Spain

⁵Unidad de Epidemiología del Servicio de Cardiología, Hospital Vall d'Hebron y CIBER de Epidemiología y Salud Pública (CIBERESP), Barcelona, Spain

⁶Unitat de Coordinació i Estratègia del Medicament, Institut Català de la Salut, Barcelona, Spain

⁷Servei de Farmacologia, Hospital Vall d'Hebron, Barcelona, Spain

⁸System for the Improvement of Research in Primary Care (SIDIAP), Barcelona, Spain

Twitter Follow Maria Giner-Soriano at @una_tal_maria

Acknowledgements The authors thank Eurne Zabaleta-del-Olmo for her support and advice in scientific writing, and Eulàlia Farré Maduell for the English review and edition.

Contributors RM, DC, XC were involved in study design. MG-S, CVU, RM were involved in writing and editing the manuscript. JM^E was involved in data extraction from SIDIAP. AR-L was involved in statistical analysis. MG-S, CVU, RM, DC, XC, IF-G, ATM, ED, MC, BB, CV were involved in review and final approval of the manuscript. This article is part of the article compendium for the PhD thesis of MG-S.

Funding ESC-FA study, study in various phases on the effectiveness, safety and cost of thromboembolic prevention in patients with non-valvular AF received funding from the Ministry of Health, Social Policy and Equality (Spanish Government) through the 2011 Grants for Independent Clinical Research (reference EC11-251).

Competing interests None declared.

Ethics approval The IDIAP Jordi Gol Clinical Research Ethics Committee, the reference institution for research in primary care of the ICS, approved the study protocol.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement Statistical code and data set available from the corresponding author.

Open Access This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: <http://creativecommons.org/licenses/by-nc/4.0/>

REFERENCES

1. Lip GY, Tse HF, Lane DA. Atrial fibrillation. *Lancet* 2012;379:648–61.
2. Camm AJ, Lip GY, De Caterina R, *et al*, ESC Committee for Practice Guidelines (CPG). 2012 focused update of the ESC Guidelines for the management of atrial fibrillation: an update of the 2010 ESC Guidelines for the management of atrial fibrillation. Developed with the special contribution of the European Heart Rhythm Association. *Eur Heart J* 2012;33:2719–49.
3. López-Soto A, Formiga F, Xavier B, *et al*. Prevalencia de la fibrilación auricular y factores relacionados en pacientes ancianos hospitalizados: estudio ESFINGE. *Med Clin (Barc)* 2012;138:231–7.
4. Camm AJ, Kirchhof P, Lip GY, *et al*. Guidelines for the management of atrial fibrillation: the Task Force for the Management of Atrial Fibrillation of the European Society of Cardiology (ESC). *Eur Heart J* 2010;31:2369–429.

5. Bertomeu-González V, Cordero A, Mazón P, *et al*. Investigators of the CARDIOTENS2 study. Anticoagulation prescription in atrial fibrillation. *Expert Opin Pharmacother* 2011;12:1473–9.
6. Hart RG, Pearce LA, Aguilar MI. Meta-analysis: antithrombotic therapy to prevent stroke in patients who have nonvalvular atrial fibrillation. *Ann Intern Med* 2007;146:857–67.
7. Gage BF, Waterman AD, Shannon W, *et al*. Validation of clinical classification schemes for predicting stroke: results from the National Registry of Atrial Fibrillation. *JAMA* 2001;285:2864–70.
8. Keogh C, Wallace E, Dillon C, *et al*. Validation of the CHADS2 clinical prediction rule to predict ischaemic stroke. A systematic review and meta-analysis. *Thromb Haemost* 2011;106:528–38.
9. NICE. NI for H& CE. Atrial fibrillation: the management of atrial fibrillation. 2014.
10. Pisters R, Lane DA, Nieuwlaat R, *et al*. A novel user-friendly score (HAS-BLED) to assess 1-year risk of major bleeding in patients with atrial fibrillation: the Euro Heart Survey. *Chest* 2010;138:1093–100.
11. Lip GY, Frison L, Halperin JL, *et al*. Comparative validation of a novel risk score for predicting bleeding risk in anticoagulated patients with atrial fibrillation: the HAS-BLED (Hypertension, Abnormal Renal/Liver Function, Stroke, Bleeding History or Predisposition, Labile INR, Elderly, Drug/Alcohol Concomitantly). *J Am Coll Cardiol* 2011;57:173–80.
12. Friberg L, Rosenqvist M, Lip GY. Net clinical benefit of warfarin in patients with atrial fibrillation: a report from the Swedish atrial fibrillation cohort study. *Circulation* 2012;125:2298–307.
13. Olesen JB, Lip GY, Hansen ML, *et al*. Validation of risk stratification schemes for predicting stroke and thromboembolism in patients with atrial fibrillation: nationwide cohort study. *BMJ* 2011;342:d124.
14. Díez-Manglano J, Bernabeu-Wittel M, Barón-Franco B, *et al*. Anticoagulation in polypathological patients with atrial fibrillation. *Med Clin (Barc)* 2012;140:97–103.
15. Rodríguez-Mañero M, Cordero A, Bertomeu-González V, *et al*. Impacto de los nuevos criterios para el tratamiento anticoagulante de la fibrilación auricular. *Rev Esp Cardiol* 2011;64:639–41.
16. Morillas P, Pallarés V, Luis J, *et al*. Prevalencia de fibrilación auricular y uso de fármacos antitrombóticos en el paciente hipertenso ≥ 65 años. El registro FAPRES. *Rev Esp Cardiol* 2010;63:943–50.
17. Bertomeu-Martínez V, Morillas-Blasco P, González-Juanatey J. Empleo de fármacos antitrombóticos en pacientes hipertensos con fibrilación auricular crónica. Estudio CARDIOTENS 99. *Med Clin (Barc)* 2002;118:327–31.
18. Bolívar B, Fina Avilés F, Morros R, *et al*. SIDIAP database: electronic clinical records in primary care as a source of information for epidemiologic research. *Med Clin (Barc)* 2012;138:617–21.
19. Ramos R, Balló E, Marrugat J, *et al*. Validity for use in research on vascular diseases of the SIDIAP (Information System for the Development of Research in Primary Care): the EMMA study. *Rev Esp Cardiol* 2012;65:29–37.
20. Vinagre I, Mata-Cases M, Hermosilla E, *et al*. Control of glycemia and cardiovascular risk factors in patients with type 2 diabetes in primary care in Catalonia (Spain). *Diabetes Care* 2012;35:774–9.
21. Pagès-Castellà A, Carbonell-Abella C, Avilés FF, *et al*. "Burden of osteoporotic fractures in primary health care in Catalonia (Spain): a population-based study". *BMC Musculoskelet Disord* 2012;13:79.
22. García-Gil Mdel M, Hermosilla E, Prieto-Alhambra D, *et al*. Construction and validation of a scoring system for the selection of high-quality data in a Spanish population primary care database (SIDIAP). *Inform Prim Care* 2011;19:135–45.
23. Domínguez-Berjón M, Borrell C, Cano-Serral G, *et al*. Construcción de un índice de privación a partir de datos censales en grandes ciudades españolas (Proyecto MEDEA). *Gac Sanit* 2008;22:179–87.
24. Singer DE, Chang Y, Fang MC, *et al*. The net clinical benefit of warfarin anticoagulation in atrial fibrillation. *Ann Intern Med* 2010;151:297–305.
25. Lip GY, Nieuwlaat R, Pisters R, *et al*. Refining clinical risk stratification for predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based approach: the euro heart survey on atrial fibrillation. *Chest* 2010;137:263–72.
26. Quan H, Li B, Couris CM, *et al*. Updating and validating the Charlson comorbidity index and score for risk adjustment in hospital discharge abstracts using data from 6 countries. *Am J Epidemiol* 2011;173:676–82.
27. Sørensen R, Gislason G, Torp-Pedersen C, *et al*. Dabigatran use in Danish atrial fibrillation patients in 2011: a nationwide study. *BMJ Open* 2013;3:pii: e002758.
28. Barrios V, Calderón A, Escobar C, *et al*. Patients with atrial fibrillation in a primary care setting: Val-FAAP study. *Rev Esp Cardiol* 2012;65:47–53.
29. Kirchhof P, Ammentorp B, Darius H, *et al*. Management of atrial fibrillation in seven European countries after the publication of the 2010 ESC Guidelines on atrial fibrillation: primary results of the PREvention of thromboembolic events—European Registry in Atrial Fibrillation (PREFER in AF). *Europace* 2014;16:6–14.
30. Kakkar AK, Mueller I, Bassand JP, *et al*. Risk profiles and antithrombotic treatment of patients newly diagnosed with atrial fibrillation at risk of stroke: perspectives from the international, observational, prospective GARFIELD registry. *PLoS ONE* 2013;8:e63479.
31. Scowcroft AC, Lee S, Mant J. Thromboprophylaxis of elderly patients with AF in the UK: an analysis using the General Practice Research Database (GPRD) 2000–2009. *Heart* 2013;99:127–32.

Effectiveness, safety and costs of thromboembolic prevention in patients with non-valvular atrial fibrillation: phase I ESC-FA protocol study and baseline characteristics of a cohort from a primary care electronic database

Maria Giner-Soriano, Cristina Vedia Urgell, Albert Roso-Llorach, Rosa Morros, Dolors Capellà, Xavier Castells, Ignacio Ferreira-González, Amelia Troncoso Mariño, Eduard Diògene, Josep M^a Elorza, Marc Casajuana, Bonaventura Bolívar and Concepció Violan

BMJ Open 2016 6:

doi: [10.1136/bmjopen-2015-010144](https://doi.org/10.1136/bmjopen-2015-010144)

Updated information and services can be found at:

<http://bmjopen.bmj.com/content/6/1/e010144>

These include:

Supplementary Material

Supplementary material can be found at:

<http://bmjopen.bmj.com/content/suppl/2016/01/28/bmjopen-2015-010144.DC1.html>

References

This article cites 30 articles, 8 of which you can access for free at:

<http://bmjopen.bmj.com/content/6/1/e010144#BIBL>

Open Access

This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: <http://creativecommons.org/licenses/by-nc/4.0/>

Email alerting service

Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections

Articles on similar topics can be found in the following collections

[Cardiovascular medicine](#) (514)
[Epidemiology](#) (1361)
[Health services research](#) (840)
[Pharmacology and therapeutics](#) (326)

To request permissions go to:

<http://group.bmj.com/group/rights-licensing/permissions>

To order reprints go to:

<http://journals.bmj.com/cgi/reprintform>

To subscribe to BMJ go to:

<http://group.bmj.com/subscribe/>

Notes

To request permissions go to:
<http://group.bmj.com/group/rights-licensing/permissions>

To order reprints go to:
<http://journals.bmj.com/cgi/reprintform>

To subscribe to BMJ go to:
<http://group.bmj.com/subscribe/>